



3731

May 1, 2002

Commissioner For Patents
Washington, D.C. 20231

Re: Applicant(s): Donohoe, Brendan M.; et. al.
Assignee: Cardica, Inc.
Title: Method and Apparatus for Creating an Opening in the Wall of a
Tubular Vessel
Serial No.: 10/054,745
Examiner: Not yet assigned
Docket No.: 057

Filed: January 22, 2002
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement Under 37 CFR 1.97(b);
- (3) PTO-1449 (13 pages);
- (4) Two boxes of references cited in PTO-1449, labeled
CARDICA Serial No. 10/054,745 Box 1 of 2,
CARDICA Serial No. 10/054,745 Box 2 of 2; and
- (5) Return receipt.

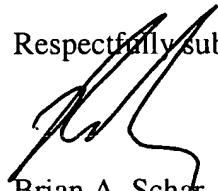
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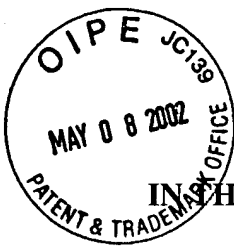
If an extension of time is required for timely filing of the enclosed documents, an extension of time is hereby requested.

Please charge Deposit Account 502108 for any fees required, and credit deposit account 502108 any amounts overpaid.

VIA HAND DELIVERY

Respectfully submitted,


Brian A. Schar
Reg. No. 45,076
Chief Patent Counsel
Cardica, Inc.
171 Jefferson Drive
Menlo Park, CA 94025



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Donohoe, Brendan M.; et. al.
Assignee: Cardica, Inc.
Title: Method and Apparatus for Creating an Opening in the Wall of a Tubular Vessel
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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the following information is brought to the attention of the Examiner in conjunction with the CPA filed herewith. The items are listed on the attached form PTO-1449. Copies of the references listed on the attached form PTO-1449 are included.

Copies of cumulative patents listed on the attached form PTO-1449 have not been provided, pursuant to MPEP 609(III)(A)(2). These cumulative patents are continuations or divisionals of U.S. patents provided herewith. These cumulative patents are listed below, along with their relationship to U.S. patents provided with this Information Disclosure Statement.

4,917,090 Berggren et. al.	Child of 4,624,257
4,917,091 Berggren et. al.	Child of 4,624,257
5,275,322 Brinkerhoff et. al.	Child of 5,205,459
5,285,945 Brinkerhoff et. al.	Child of 5,205,459
5,314,468 Martinez	Child of 5,178,634
5,366,462 Kaster et. al.	Child of 5,234,447
5,392,979 Green et. al.	Child of 5,119,983
5,533,661 Main et. al.	Child of 5,333,773
5,571,167 Maginot	Child of 5,304,220

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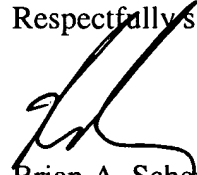
5,815,640 Wang et. al.	Child of 5,524,180
5,841,950 Wang et. al.	Child of 5,524,180
5,878,193 Wang et. al.	Child of 5,524,180
5,904,697 Gifford III et. al.	Child of 5,695,504
5,907,664 Wang et. al.	Child of 5,524,180
5,911,036 Wright et. al.	Child of 5,825,982
5,921,995 Kleshinski	Child of 5,755,778
5,947,363 Bolduc et. al.	Child of 5,732,872
5,957,363 Heck	Child of 5,709,335
5,989,278 Mueller	Child of 5,871,495
6,053,390 Green et. al.	Child of 5,344,059
6,167,889 Benetti	Child of 5,888,247
6,171,319 Nobles et. al.	Child of 5,944,730
6,171,321 Gifford III et. al.	Child of 5,695,504
6,176,413 Heck et. al.	Child of 5,881,943
6,183,486 Snow et. al.	Child of 5,797,933
6,190,397 Spence et. al.	Child of 5,868,763
6,206,912 Goldsteen et. al.	Child of 5,976,178
6,241,742 Spence et. al.	Child of 5,868,763
2001/0001122 Gifford III et. al.	Child of 5,695,504
2001/0001124 Mueller	Child of 5,871,495
2001/0047179 Gifford III et. al.	Child of 5,695,504

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German-language references DE 19732234 and DE 4041262 are cited in the attached PTO-1449. A copy of an English-language abstract is attached to each German-language reference in order to concisely explain its relevance, under MPEP 609 and 37 CFR 1.98(a)(3)(i).

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "B. A. Schar", written over the word "Respectfully" in the closing line.

Brian A. Schar
Attorney for Applicant(s)
Reg. No. 45,076
Cardica, Inc.
171 Jefferson Drive
Menlo Park, CA 94025

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

057

SERIAL NO.

10/054745

APPLICANT:

Brendan, Donohoe et al.

FILING DATE:

1/22/02

GROUP:

3731

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,254,650	6/7/66	COLLITO			
	3,519,187	7/7/70	KAPITANOV, ET AL			
	3,577,979	5/11/71	GAAST			
	3,774,615	11/27/73	LIM, ET AL			
	4,018,228	4/19/77	GOOSEN			
	4,076,162	2/28/78	KAPITANOV ET AL			
	4,118,806	10/10/78	PORIER ET AL			
	4,214,587	7/29/80	SAKURA JR			
	4,216,776	8/12/80	DOWNIE, ET AL			
	4,217,664	8/19/80	FASO			
	4,350,160	9/21/92	KOLESOV, ET AL			
	4,352,358	10/5/82	ANGELCHIK			
	4,366,819	1/4/83	KASTER			
	4,368,736	1/18/83	KASTER			
	4,503,568	3/12/85	MADRAS			
	4,523,592	6/18/85	DANIEL			
	4,534,761	8/13/85	RAIBLE			
	4,553,542	11/19/85	SCHENCK, ET AL			
	4,589,416	5/20/86	GREEN			
	4,593,693	6/10/86	SCHENCK			
	4,603,693	8/5/86	CONTA, ET AL			
	4,607,637	8/26/86	BERGGREN, ET AL			
	4,624,255	11/25/86	SCHENCK, ET AL			
	4,624,257	11/25/86	BERGGREN, ET AL			
	4,657,019	4/14/87	WALSH, ET AL			
	4,665,906	5/19/87	JERVIS			

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FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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	4,721,109	1/26/88	HEALEY			
	4,747,407	5/31/88	LIU, ET AL			
	4,752,024	6/21/88	GREEN ET AL			
	4,873,991	10/17/89	SKINNER			
	4,883,453	11/28/89	BERRY, ET AL			
	4,907,591	3/13/90	VASCONCELLOS, ET AL			
	4,917,087	4/17/90	WALSH, ET AL			
	4,917,090	4/17/90	BERGGREN, ET AL			
	4,917,091	4/17/90	BERGGREN, ET AL			
	4,930,674	6/5/90	BARAK			
	5,005,749	4/9/91	ARANYI			
	5,062,842	11/5/91	TIFFANY			
	5,104,025	4/14/92	MAIN, ET AL			
	5,119,983	6/9/92	GREEN, ET AL			
	5,129,913	7/14/92	RUPPERT			
	5,156,613	10/20/92	SAWYER			
	5,171,262	12/15/92	MACGREGOR			
	5,178,634	1/12/93	MARTINEZ			
	5,187,796	2/16/93	WANG, ET AL			
	5,192,289	3/9/93	JESSEN			
	5,192,294	3/9/93	BLAKE III			
	5,193,731	3/16/93	ARANYI			
	5,205,459	4/27/93	BRINKERHOFF, ET AL			
	5,217,474	6/8/93	ZACCA, ET AL			
	5,221,281	6/22/93	KLICEK			
	5,222,963	6/29/93	BRINKERHOFF, ET AL			

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		5,234,447	8/10/93	KASTER, ET AL			
		5,250,058	10/5/93	MILLER, ET AL			
		5,250,060	10/5/93	CARBO, ET AL			
		5,271,544	12/21/93	FOX, ET AL			
		5,275,322	1/4/94	BRINKERHOFF, ET AL			
		5,285,945	2/15/94	BRINKERHOFF, ET AL			
		5,290,298	3/1/94	REBUFFAT, ET AL			
		5,292,053	3/8/94	BILOTTI, ET AL			
		5,304,220	4/19/94	MAGINOT			
		5,314,435	5/24/94	GREEN ET AL			
		5,314,468	5/24/94	MARTINEZ			
		5,324,300	6/28/94	ELIAS ET AL			
		5,333,773	8/2/94	MAIN, ET AL			
		5,336,233	8/9/94	CHEN			
		5,344,059	9/6/94	GREEN ET AL			
		5,350,104	9/27/94	MAIN, ET AL			
		5,354,302	10/11/94	KO			
		5,364,389	11/15/94	ANDERSON			
		5,366,462	11/22/94	KASTER, ET AL			
		5,392,979	2/28/95	GREEN ET AL			
		5,395,030	3/7/95	KURAMOTO, ET AL			
		5,395,311	3/7/95	ANDREWS			
		5,403,338	4/4/95	MILO			
		5,423,330	6/13/95	LEE			
		5,443,497	8/22/95	VENBRUX			
		5,447,514	9/5/95	GERRY, ET AL			

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	5,454,825	10/3/95	VAN LEEUWEN			
	5,456,712	10/10/95	MAGINOT			
	5,456,714	10/10/95	OWEN			
	5,462,062	10/31/95	RUBINSTEIN ET AL			
	5,464,449	11/7/95	RYAN, ET AL			
	5,465,895	11/14/95	KNODEL, ET AL			
	5,470,320	11/28/95	TIEFENBRUN ET AL			
	5,478,354	12/26/95	TOVEY, ET AL			
	5,488,958	2/6/96	TOPEL ET AL			
	5,503,635	4/2/96	SAUER, ET AL			
	5,515,478	5/7/96	WANG			
	5,522,834	6/4/96	FONGER, ET AL			
	5,524,180	6/4/96	WANG, ET AL			
	5,533,661	7/9/96	MAIN, ET AL			
	5,540,677	7/30/96	SINOFSKY			
	5,553,198	9/3/96	WANG, ET AL			
	5,556,405	9/17/96	LARY			
	5,558,667	9/24/96	YARBOROUGH, ET AL			
	5,571,167	11/5/96	MAGINOT			
	5,643,340	7/1/97	NUNOKAWA			
	5,645,520	7/8/97	NAKAMURA, ET AL			
	5,657,429	8/12/97	WANG, ET AL			
	5,669,918	9/23/97	BALAZS, ET AL			
	5,669,934	9/23/97	SAWYER			
	5,676,670	10/14/97	KIM			
	5,690,662	11/25/97	CHIU ET AL			

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	5,693,088	12/2/97	LAZARUS			
	5,695,504	12/9/97	GIFFORD III, ET AL			
	5,702,412	12/30/97	POPOV ET AL			
	5,707,362	1/13/98	YOON			
	5,707,380	1/13/98	HINCHLIFFE, ET AL			
	5,709,335	1/20/98	HECK			
	5,709,693	1/20/98	TAYLOR			
	5,725,544	3/10/98	RYGAARD			
	5,725,553	3/10/98	MOENNING			
	5,732,872	3/31/98	BOLDUC, ET AL			
	5,754,741	5/19/98	WANG, ET AL			
	5,755,778	5/26/98	KLESHINSKI			
	5,762,458	6/9/98	WANG, ET AL			
	5,779,718	7/14/98	GREEN ET AL			
	5,792,135	8/11/98	MADHANI, ET AL			
	5,797,900	8/25/98	MADHANI, ET AL			
	5,797,920	8/25/98	KIM			
	5,797,933	8/25/98	SNOW ET AL			
	5,799,661	9/1/98	BOYD ET AL			
	5,799,857	9/1/98	ROBERTSON, ET AL			
	5,807,377	9/15/98	MADHANI, ET AL			
	5,814,073	9/29/88	BONUTTI			
	5,815,640	9/29/98	WANG, ET AL			
	5,817,113	10/6/98	GIFFORD III ET AL			
	5,825,982	10/20/98	WRIGHT ET AL			
	5,827,316	10/27/98	YOUNG ET AL			

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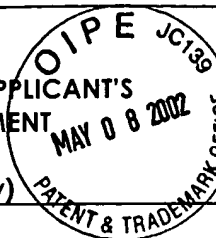
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	5,833,698	11/10/98	HINCHCLIFFE, ET AL			
	5,841,950	11/24/98	WANG, ET AL			
	5,855,583	1/5/99	WANG, ET AL			
	5,868,763	2/9/99	SPENCE ET AL			
	5,868,764	2/9/99	ROSENGART			
	5,871,495	2/16/99	MUELLER			
	5,875,782	3/2/99	FERARRI, ET AL			
	5,878,193	3/2/99	WANG, ET AL			
	5,879,371	3/9/99	GARDINER, ET AL			
	5,881,943	5/16/99	HECK ET AL			
	5,888,247	3/30/99	BENETTI			
	5,893,369	4/13/99	LEMOLE			
	5,895,403	4/20/99	COLLINSWORTH			
	5,895,404	4/20/99	RUIZ			
	5,904,697	5/18/99	GIFFORD III, ET AL			
	5,907,664	5/25/99	WANG, ET AL			
	5,910,121	6/8/99	PAOLO ET AL			
	5,910,153	6/8/99	MAYENBERGER			
	5,911,036	6/8/99	WRIGHT, ET AL			
	5,915,616	6/29/99	VIOLA, ET AL			
	5,921,995	7/13/99	KLESHINSKI			
	5,944,730	8/31/99	NOBLES, ET AL			
	5,947,363	9/7/99	BOLDUC, ET AL			
	5,957,363	9/28/99	HECK			
	5,968,089	10/19/99	KRAJICEK			
	5,976,159	11/2/99	BOLDUC, ET AL			

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	5,976,178	11/2/99	GOLDSTEEN, ET AL			
	5,989,276	11/23/99	HOUSER ET AL			
	5,989,278	11/23/99	MUELLER			
	6,001,124	12/14/99	BACHINSKI			
	6,007,544	12/28/99	KIM			
	6,013,190	1/11/00	BERG, ET AL			
	6,015,416	1/18/00	STEFANCHIK, ET AL			
	6,022,367	2/8/00	SHERTS			
	6,024,748	2/15/00	MANZO ET AL			
	6,030,370	2/29/00	KUPKA, ET AL			
	6,030,395	2/29/00	NASH, ET AL			
	6,036,699	3/14/00	ANDREAS, ET AL			
	6,036,700	3/14/00	STEFANCHIK, ET AL			
	6,036,702	3/14/00	BACHINSKI, ET AL			
	6,036,703	3/14/00	EVANS, ET AL			
	6,036,704	3/14/00	YOON			
	6,036,705	3/14/00	NASH, ET AL			
	6,036,710	3/14/00	MCGARRY ET AL			
	6,050,472	4/18/00	SHIBATA			
	6,053,390	4/25/00	GREEN, ET AL			
	6,056,762	5/2/00	NASH, ET AL			
	6,066,144	5/23/00	WOLF, ET AL			
	6,066,148	5/23/00	RYGAARD			
	6,068,637	5/30/00	POPOV ET AL			
	6,074,416	6/13/00	BERG, ET AL			
	6,080,167	6/27/00	LYELL			

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	6,080,173	6/27/00	WILLIAMSON IV, ET AL			
	6,080,175	6/27/00	HOGENDIJK			
	6,080,176	6/27/00	YOUNG			
	6,083,234	7/4/00	NICHOLAS ET AL			
	6,083,238	7/4/00	ALEXANDER, JR ET AL			
	6,110,188	8/29/00	NARCISO, JR			
	6,113,612	9/5/00	SWANSON, ET AL			
	6,117,148	9/12/00	RAVO, ET AL			
	6,120,432	9/19/00	SULLIVAN, ET AL			
	6,146,393	11/14/00	WAKABAYASHI			
	6,149,681	11/21/00	HOUSER ET AL			
	6,152,937	11/28/00	PETERSON, ET AL			
	6,152,945	11/28/00	BACHINSKI, ET AL			
	6,165,185	12/26/00	SHENNIB, ET AL			
	6,167,889	1/2/01	BENETTI			
	6,171,319	1/9/01	NOBLES, ET AL			
	6,171,321	1/9/01	GIFFORD III, ET AL			
	6,176,413	1/23/01	HECK ET AL			
	6,176,864	1/23/01	CHAPMAN			
	6,176,867	1/23/01	WRIGHT			
	6,183,486	2/6/01	SNOW ET AL			
	6,186,942	2/13/01	SULLIVAN, ET AL			
	6,187,019	2/13/01	STEFANCHIK, ET AL			
	6,187,020	2/13/01	ZEGDI, ET AL			
	6,190,396	2/20/01	WHITIN, ET AL			
	6,190,397	2/20/01	SPENCE ET AL			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,190,590	2/20/01	RANDALL, ET AL			
	6,193,129	2/27/01	BITTNER, ET AL			
	6,193,734	2/27/01	BOLDUC, ET AL			
	6,206,912	3/27/01	GOLDSTEEN, ET AL			
	6,206,913	3/27/01	YENCHO, ET AL			
	6,235,054	5/22/01	BERG, ET AL			
	6,241,742	6/5/01	SPENCE ET AL			
	6,248,117	6/19/01	BLATTER			
	6,253,768	7/3/01	WILK			
	6,293,955	9/25/01	HOUSER ET AL			
	2001/0000903	5/10/01	HECK ET AL			
	2001/0001122	5/10/01	GIFFORD III, ET AL			
	2001/0001124	5/10/01	MUELLER			
	2001/0004697	6/21/01	BLATTER ET AL			
	2001/0004698	6/21/01	BLATTER ET AL			
	2001/0016752	8/23/01	BERG, ET AL			
	2001/0023354	9/20/01	BLATTER ET AL			
	2001/0029384	10/11/01	NICHOLAS ET AL			
	2001/0037139	11/1/01	YENCHO ET AL			
	2001/0047179	11/29/01	GIFFORD III, ET AL			
	Des. 372310	7/30/96	HARTNETT			

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	0 517 252	12/9/92	EUROPE			
	0 701 800	3/20/96	EUROPE			
	0 820 724	1/3/00	EUROPE			
	0 820 725	1/3/00	EUROPE			
	0 885 595	12/23/98	EUROPE			
	0 913 125	7/5/00	EUROPE			
	0 938 870	9/1/99	EUROPE			
	0 990 420	12/27/00	EUROPE			
	00/09040	2/24/00	PCT			
	00/10486	3/2/00	PCT			
	00/12013	3/9/00	PCT			
	00/15144	3/23/00	PCT			
	00/15146	3/23/00	PCT			
	00/15147	3/23/00	PCT			
	00/15148	3/23/00	PCT			
	00/15149	3/23/00	PCT			
	00/27310	5/18/00	PCT			
	00/27311	5/18/00	PCT			
	00/27312	5/18/00	PCT			
	00/27313	5/18/00	PCT			
	00/33745	6/15/00	PCT			
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	00/53104	9/14/00	PCT			
	00/56223	9/28/00	PCT			
	00/56226	9/28/00	PCT			
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	00/56228	9/28/00	PCT			
	00/59380	10/12/00	PCT			
	00/66007	11/9/00	PCT			
	00/66009	11/19/00	PCT			
	00/69343	11/23/00	PCT			
	00/69346	11/23/00	PCT			
	00/69349	11/23/00	PCT			
	00/69364	11/23/00	PCT			
	00/72764	12/7/00	PCT			
	00/74579	12/14/00	PCT			
	00/76405	12/21/00	PCT			
	01/08601	2/8/01	PCT			
	01/12074	2/22/01	PCT			
	01/15607	3/8/01	PCT			
	01/17440	3/15/01	PCT			
	01/19257	3/22/01	PCT			
	01/19259	3/22/01	PCT			
	01/19284	3/22/01	PCT			
	01/34037	5/17/01	PCT			
	92/08513	5/29/92	PCT			
	96/25886	8/29/96	PCT			
	97/25002	7/17/97	PCT			
	97/27898	8/7/97	PCT			
	97/31575	9/4/97	PCT			
	97/47261	12/18/97	PCT			
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	98/19608	5/14/98	PCT			
	98/19618	5/14/98	PCT			
	98/19625	5/14/98	PCT			
	98/19629	5/14/98	PCT			
	98/19630	5/14/98	PCT			
	98/19631	5/14/98	PCT			
	98/19632	5/14/98	PCT			
	98/19634	5/14/98	PCT			
	98/19636	5/14/98	PCT			
	98/30153	7/16/98	PCT			
	98/37814	9/3/98	PCT			
	98/42262	10/1/98	PCT			
	98/47430	10/29/98	PCT			
	98/55027	12/10/98	PCT			
	99/08603	2/25/99	PCT			
	99/17665	4/15/99	PCT			
	99/18887	4/22/99	PCT			
	99/21491	5/6/99	PCT			
	99/37218	7/29/99	PCT			
	99/38441	8/5/99	PCT			
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	99/40851	8/19/99	PCT			
	99/40868	8/19/99	PCT			
	99/45848	9/6/99	PCT			
	99/52481	10/21/99	PCT			
	99/62406	12/9/99	PCT			

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Method and Apparatus for Creating an Opening in the Wall of a Tubular Vessel

Serial No. 10/054,745

Group Art Unit 3731

ENCLOSED:

- (1) This return receipt;
- (2) Transmittal Letter;
- (3) Information Disclosure Statement;
- (4) PTO-1449 (13 pages); and
- (5) Two boxes of references cited in PTO-1449, labeled
CARDICA Serial No. 10/054,745 Box 1 of 2,
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